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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: BUCKWALTER et al.

Application No.: 10/697,541

Filing Date: October 30, 2003

For: METHOD AND APPARATUS FOR
MONITORING AND EVALUATING
LIMIT ORDER TRADING

) Confirmation No.: 7586

) Group Art Unit: 3694

) Examiner: Shahid R. Merchant

) **RESPONSE TRANSMITTAL**

) Docket No.: G08.047/U

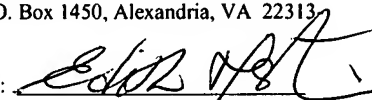
) **PTO Customer Number 28062**
) Buckley, Maschoff & Talwalkar LLC
) 50 Locust Avenue
) New Canaan, CT 06840

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 18, 2007.

Dated: April 18, 2007

By:


Edith Martin

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are:

1. ☒ Response to Restriction Requirement mailed April 5, 2007.
2. ☒ While no fees are believed due in conjunction with this response, the Commissioner is hereby authorized to charge and credit Deposit Account No. **50-1852** as described below. A duplicate copy of this sheet is enclosed.
 - i. ☒ Credit any overpayment.
 - ii. ☒ Charge any additional fees required under 37 CFR 1.16 and 1.17

3. . ☒ Additional Enclosures: Acknowledgement Postcard

Respectfully submitted,



April 18, 2007
Date

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Patent



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Sir:

In response to the Restriction Requirement mailed April 5, 2007, Applicants elect for prosecution Invention II (claims 1, 7-9, 13-14, 20-27, 35 and 39).